



FOR IMMEDIATE RELEASE

SEC Awards Financial Tracking Contract for Employee Personal Trading System

Greenwich, CT September 17, 2009—Financial Tracking Technologies, LLC acknowledged today that the U.S. Securities and Exchange Commission has chosen the company to provide technology to facilitate the agency's Ethics Program System. The system, currently being implemented, is set to go live in October. The contract was awarded in second quarter of 2009 and will be used agency-wide by all 4,000 employees.

"We are delighted at the opportunity to serve the SEC as a client," said Tony Turner, principal, at Financial Tracking. "The selection of our company is the culmination of 10 years of aiding global buy-side and sell-side companies in pursuit of stringent adherence to rules and regulations. The cost-effective solution provides for time sensitive alerts for compliance processes and procedures, all based on automated analysis and exception alerting." he said.

The software provides for SEC employees to pre-clear and report personal securities transactions and holdings and enable the Ethics Office to administer, supervise and manage the SEC's ethics program, all on a 24/7/365 basis.

About Financial Tracking Technologies, LLC

Financial Tracking Technologies, LLC is the proven leader in reducing costs through automated compliance software (patents pending) with modular features. Its solutions enable positive assertions and proof that policies, procedures and internal controls exist and are operating effectively. Companies using its technologies, which include investment advisors, mutual funds, hedge funds and fund of funds, regulatory agencies, broker/dealers, private equity and public companies, no longer endure the arduous and daunting tasks of data gathering and analysis and are the "**First to Know™**" when compliance exceptions occur. Additional information can be found at www.financial-tracking.com

For more information, contact Kelly Vales at 203-340-2356 x805 or at kvales@financial-tracking.com.