



FINANCIAL TRACKING, LLC

FIRST TO KNOW™ SOLUTIONS

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NEWS RELEASE

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FOR IMMEDIATE RELEASE

Mutual Fund Compliance Software Expanded

Reflects growing need to cut compliance costs through automation

Greenwich, CT June 16, 2009—Financial Tracking Technologies, LLC today announced the enhancement of its mutual fund compliance software. The company says that Version 5.0 will further cut costs and ensure compliance due to automation. The new version includes several enhancements, all offered ala carte, including window dressing, portfolio pumping, pricing and valuation alerts, portfolio process compliance vs. regulatory and manager philosophy guidelines, risk pricing alerts and favoritism detection of investment idea allocations. It also includes automated counter party risk alerts and fixed income best execution analysis and alerts for corporate, government, municipal and convertible securities. Finally, it is available for client-side hardware installation in addition to the traditional web-based offering. In either configuration, any compliance module can be activated within seconds by the software administrator. The on demand entitlements feature further expands client choice for automated compliance monitoring, analysis and alerting in not only portfolio compliance but also employee personal trading and performance dispersions. The company's modules are all focused on automated trades and holdings analyses.

"Version 5.0 is the most comprehensive engineering enhancement we've delivered to date for mutual fund compliance. Financial Tracking is rapidly becoming the software of choice due to it providing independent, unbiased continuous analysis and alerts and its modular functionality. The modular functionality gives clients an unmatched menu of options and then only paying for what they need and use," said Tony Turner, principal of the company. Detection of misuse of material non-public information gained from relationships and front running markets are additional options referenced by Turner. "Our IT group has worked in partnership with our clients and prospects to find additional ways to reduce compliance costs and fiduciary risks through automation. Once again, we clearly have succeeded with another robust release, reflecting the industry's ever growing regulatory scrutiny and need for immediate knowledge of compliance issues before it's too late." Turner said.

About Financial Tracking Technologies, LLC

Financial Tracking Technologies, LLC is the proven leader in reducing costs through independent, automated compliance software with modular features. Its solutions enable positive assertions and proof to regulators, clients, fund directors and risk groups that policies, procedures and internal controls exist and are operating effectively. Companies using its technologies, which include investment advisors, mutual funds, hedge funds and fund of funds, broker/dealers, private equity and public companies, no longer endure the arduous and daunting tasks of data gathering and analysis and are the "First to Know™" when compliance exceptions occur. Additional information can be found at www.financial-tracking.com

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